

SCHEDULE 5: ARRANGEMENTS FOR ALIGNMENT OF EXPENDITURE

1. Introduction

- 1.1 This Schedule outlines the arrangements for aligning care home budgets during the 2019/20 financial year. These arrangements are to be hosted by Swansea Council for the duration of this period.
- 1.2 This Schedule provides details of the budgets and services to be aligned by each of the Partners and the process, mechanisms and timescales according to which care homes expenditure and activity will be aligned.

2. Method for Aligning Expenditure

- 2.1 For the Financial Year 2019/2020 where applicable, partners will be required to provide details of care homes expenditure and placement activity for older person aged 65 and over for each of the following:
 - a) Residential care for people receiving personal care
 - b) Self Funding patients receiving funded nursing care
 - c) Local Authority funded residents in receipt of funded nursing care
 - d) CHC funded patients
 - e) Residents funded under s117 of the Mental Health Act receiving personal care
 - f) Patients funded under s117 of the Mental Health Act receiving nursing care
 - g) Respite care whether respite is for the resident or their carer(s)
 - h) Care home services described as step up, step down or re-ablement
- 2.2 In addition to details of expenditure relating to each category of care home placement referred to in a – h above, placement data is also required to confirm;
 - a) The number of new admissions for each category a-h during the recording period.
 - b) The number of discharges (in this context meaning funding which has ended) for each category a – h during the recording period.
 - c) The number of people who remain in situ for each category a – h at the end of the recording period.
 - d) The number of people whose needs have escalated and are upgraded from residential personal care to funded nursing care.
- 2.3 Where care fees are paid to care homes in full and details of expenditure provided are gross rather than net of Service User contributions, information

on the total level of income received through weekly personal contributions and other windfall contributions is required.

- 2.4 Each local authority is required to provide data confirming level of outstanding debt from non-payment of client contributions arising during the recording period. Where the Local authority is paying net of personal contributions this will be expressed in relation to debts brought to each Local Authority's attention by Provider organisations and which the authority is actively taking steps to recover or has agreed to pay to the care home operator without pursuing recovery.
- 2.5 A template for capturing all of the care homes for older people pooled fund data required is set out at Appendix 1 to this schedule.

3. Timescales for Submitting Expenditure and Activity Data

- 3.1 Each partner is required to submit a completed care homes for older people pooled fund data set at monthly intervals.
- 3.2 Each submission will reflect spend and activity data for the preceding month.
- 3.3 All financial data should be cumulative, whereas placement data should relate to the recording period to enable comparison.
- 3.4 Each partner is required to submit their data set to Swansea Council's nominated officer no later than the last Friday of each month.

4. Analysis of Care Homes for Older People Pooled Fund Data

- 4.1 Swansea Council shall collate pooled fund data on behalf of the Partners and will produce expenditure and activity reports at 2 monthly intervals. These reports will be shared at two monthly intervals at the Adult Services Transformation Board in accordance with the resources and governance arrangements described at Schedules 3 and 4 respectively.
- 4.2 The activity report will create a transparent record of regional spend and activity. It may be used to highlight placement trends and patterns, and may be used as one of the strands of information to develop a formula for future contributions to the pooled fund.

5. Arrangements after the 1st April 2020.

- 5.1 Before the 31st March 2020 the Partners should confirm arrangements for managing pooled budget arrangements for the following and subsequent financial years. This should describe detailed arrangements for making contributions, managing over and underspends, governance and accountability, reporting and auditing, risk sharing, hosting, commissioning and procurement, and VAT implications.